



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Trabada et al.

Serial No.

10/753,848

Filed

January 8, 2004

For

Endoluminal Access Devices and Related Methods of Use

Group Art Unit

3731

Examiner

To be assigned

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of Mailing

I hereby certify that this correspondence is being deposited with U.S. Postal Services as first class mail in an envelope addressed to:

ope addressed to: Commissioner for Patents

> P.O. Box 1450 Jexandria, VA 22313-1450

By: Raplun, RA

Date: December 27, 2004

### **TRANSMITTAL**

Transmitted herewith please find a Power of Attorney executed by an Assignee of entire interest for filing in the above-identified application. In addition, enclosed are copies of previously filed Assignment/Employment documents which establish the ownership of the application.. No fees are believed to be required. However, the Commissioner is hereby authorized to charge the Deposit Account of Fay Kaplun & Marcin LLP No. 50-1492 any additional fees. A copy of the paper is enclosed for this purpose.

Dated: December 27, 2004

Neg F. Kaplun (Reg. No. 45,559)

Fay Kaplun & Marcin, LLP 150 Broadway, Suite 702 New York, N.Y. 10038 (212) 619-6000 (telephone) (212) 619-0276 (facsimile)

Group Art Unit: 3731

Examiner:



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

nventor(s):

German TRABADA et al.

Serial No.:

10/753,848

Filed:

January 8, 2004

For:

ENDOLUMINAL ACCESS DEVICES AND

RELATED METHODS OF USE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### GRANT OF POWER OF ATTORNEY PURSUANT TO 37 C.F.R. § 3.71

Assignee hereby appoints: Patrick J. Fay (Reg. No. 35,508); Oleg F. Kaplun (Reg. No. 45,559); Michael J. Marcin (Reg. No. 48,198); Paolo M. Trevisan (Reg. No. 45,164); Scott Bluni (Reg. No. 40,916); Luke R. Dohmen (Reg. No. 36,783); William J. Shaw (Reg. No. 43,111), Kurt W. Lockwood (Reg. No. 40,704), James R. Chiapetta (Reg. No. 39,634), Peter J. Gafner (Reg. No. 36,517), Albert K. Kau (Reg. No. 40,672), Steven A. McAuley (Reg. No. 46,084) and Todd P. Messal (Reg. No. 42,883) to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. All previous Powers of Attorney in connection with this application are hereby revoked. Please send all correspondence to:

Customer No. 30636 Fay Kaplun & Marcin, LLP 150 Broadway, Suite 702 New York, NY 10038

and direct all phone calls to Patrick J. Fay at (212) 619-6000 Ext. 202.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

November 16,2004

Date

Kurt W. Lockwood (Reg. No. 40,704)

**Assistant Secretary** 

SCIMED LIFE SYSTEMS, INC.

FORM PTO 1585

# RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

# PATENTS ONLY

ched original documents or copy thereof
2. Name and address of receiving party(ies)
Name: SCIMED LIFE SYSTEMS, INC.
Street Address:
ONE SCIMED PLACE MAPLE GROVE, MINNESOTA 55311-1566
B. Patent No.(s)
attached? □ Yes ⊠ No T
6. Total number of applications and patents involved: 1 TITLE: ENDOLUMINAL ACCESS DEVICES AND RELATED METHODS OF USE
7. Total fee (37 C.F.R. 3.41)\$ 40.00  ☐ Enclosed ☐ Authorized to be charged to deposit account
8. Deposit account number:
SE THIS SPACE
tion is true and correct and any attached copy is a true copy of the
11/24/09 Date
Number of pages including cover sheet, attachments, and document: 6
etach this portion nation to:

### **ASSIGNMENT**

WHEREAS, We,

German TRABADA 16892 SW 1st Street Pembroke Pines, FL 33027-1093 Citizenship: United States of America

Russell F. DURGIN, Jr. 146 Knott Street Attleboro, MA 02703 Citizenship: United States of America

and

Robert SAKAL
76 Bolton Woods Way
Bolton, MA 01740
Citizenship: United States of America

(hereinafter also referred to as "the Assignor"); have invented new and useful inventions and discoveries in ENDOLUMINAL ACCESS DEVICES AND RELATED METHODS OF USE, for which we have made application for Letters Patent of the United States, said application being filed January 8, 2004 and Assigned Serial No. 10/753,848 and;

WHEREAS, SCIMED LIFE SYSTEMS, INC., organized and existing under and by virtue of the laws of the State of Minnesota, having an office at One Scimed Place, Maple Grove, Minnesota 55311-1566, (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest in and to the application and invention therein described and claimed and any Letters Patent that may be issued upon the application or for the improvements therein contained.

NOW, THEREFORE, in consideration of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

- 1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.
- 2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.
- 4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance.
- 5. Bind our heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

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20, before me, the undersigned Notary Public,, provided to me through satisfactory
re, to be the
e preceding or attached document in my presence, and tents of the document are truthful and accurate to the
tens of the document are truther and accorde to the
(Seal)

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this $874$ day of
Russell F. DURGIN, Jr.
Commonwealth of Massachusetts )
County of Middle sex
On this day of he day of h
My Commission Expires: 11 3 00

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 13th day of July , 2004.  Robert SAKAL
County of Middlesex )  On this 13th day of July , 2004, before me, the undersigned Notary Public, personally appeared Robert Sakal , provided to me through satisfactory evidence of identification, which was/were personal knowledge , to be the person(s) whose name (s) is signed on the preceding or attached document in my presence, and who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge and belief.
Kattlund Dulin (Seal) Signature of Notary  My Commission Expires: Dec. 27, 2007

FORM PTO 1585

# RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

## PATENTS ONLY

Name and address of receiving party(ies)  ame: BOSTON SCIENTIFIC CORPORATION  treet Address:  ONE BOSTON SCIENTIFIC PLACE VATICK, MA 01760-1537  B. Patent No.(s)  ached? □ Yes ☒ No  6. Total number of applications and patents involved: 1  TITLE: ENDOLUMINAL ACCESS DEVICES AND RELATED  METHODS OF USE  7. Total fee (37 C.F.R. 3.41)
B. Patent No.(s)  ached? □ Yes ☒ No  6. Total number of applications and patents involved: 1  TITLE: ENDOLUMINAL ACCESS DEVICES AND RELATED  METHODS OF USE  7. Total fee (37 C.F.R. 3.41)
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<ul> <li>☑ Enclosed</li> <li>☑ Authorized to be charged to deposit account</li> </ul>
8. Deposit account number:
THIS SPACE
is true and correct and any attached copy is a true copy of the
1/24/09 Date
mber of pages including cover sheet, attachments, and document:
h this portion on to:

# Acknowledgment of Employment at Will Agreement and Receipt of Handbook

In consideration of my employment, I agree to conform to the rules and regulations of the Company. I understand that my employment may be terminated at-will with or without notice, at anytime, at the option of either the Company or myself.

I understand that no management representative has any authority to enter into any agreement of employment for any specific period of time, except for the Vice President and Ceneral Manager. Any such agreement between the Vice President and General Manager and myself must be in writing.

I German Traibada, have received a copy of the Boston Scientific/Symbiosis Employee Handbook. I agree to read the complete handbook and to abide by the rules, policies and benefits contained therein.

I understand the rules, policies, and benefits contained in the Employee Handbook may be modified. substituted or deleted at anytime.

I understand that neither this Handbook nor an other communication by management is intended; in any way, to create a contract of permanent employment.

Berman Irabada

Employee Name

Employee Signature

Daniela

### **Patent Policy**

All inventions and discoveries made by you, solely or jointly with others, during the period of your employment with Boston Scientific/Symbiosis and six months thereafter; arising out of employment or pertaining to the business or the research activities of Boston Scientific/Symbiosis, and all records including original notebooks, drawings and memoranda (which hereby you agree to keep), are and shall be the property of Boston Scientific/Symbiosis.

You will promptly, without request, disclose to Boston Scientific/Symbiosis all such inventions and discoveries you make.

Upon request of Boston Scientific/Symbiosis and at its expense, you or your legal representative will apply for Letters of Patent in this and in foreign countries on such inventions and discoveries; will execute all papers necessary thereto, including assignments of patent applications and patents; and will lend assistance to the prosecution of defense of any proceedings in or relating to such patent applications and patents. When such assistance is rendered after employment, Boston Scientific/Symbiosis will pay a reasonable sum (as determined by Boston Scientific/Symbiosis) for time and expenses.

Upon the termination of employment, you or your legal representative shall promptly deliver to Boston Scientific/Symbiosis all originals and copies of drawings, records, reports, notes, correspondence, photographs, blueprints, maps and any other recorded, written or printed matter under your courtof relating to any inventions and discoveries referred to herein and will return all property of Boston Scientific/Symbiosis such as equipment, specimens, samples, models and biological culture.

FORM PTO 1585

RECORDATION FORM COVER SHEET
U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

# PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attack	hed original documents or copy thereof
1. Name of conveying party(ies):	2. Name and address of receiving party(ies)
BOSTON SCIENTIFIC CORPORATION	Name: SCIMED LIFE SYSTEMS, INC.
Additional name(s) of conveying parties attached? □ Yes x No	Street Address:
3. Nature of conveyance:   Assignment ☐ Merger  Change of Name  Other:  Execution date: December 23, 2004	ONE SCIMED PLACE MAPLE GROVE, MINNESOTA 55311-1566
4. Application numbers or patent numbers:	·
A. Patent Applications: 10/753,848 filed on January 8, 2004	B. Patent No.(s)
Additional Numbers	attached? □ Yes ⊠ No
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Oleg F. Kaplun, Esq. Internal Address: Fay Kaplun & Marcin, LLP  Street Address: 150 Broadway, Suite 702  City: New York State: New York ZIP: 10038	6. Total number of applications and patents involved: 1 TITLE: ENDOLUMINAL ACCESS DEVICES AND RELATED METHODS OF USE
	7. Total fee (37 C.F.R. 3.41)\$ 40.00  ⊠ Enclosed  □ Authorized to be charged to deposit account
	8. Deposit account number:
DO NOT USI	E THIS SPACE
Oleg F. Kaplun, (Reg. No. 45,559) Name of Person Signing Signature	on is true and correct and any attached copy is a true copy of the    12/27/04     Date
OMB No. 0651-0011 (exp. 4/94)	umber of pages including cover sheet, attachments, and document: 2
	ch this portion tion to:

### ASSIGNMENT

WHEREAS, Boston Scientific Corporation (hereinafter "ASSIGNOR"), a corporation organized and existing under the laws of the State of Delaware, and having a place of business at One Boston Scientific Place, Natick, MA 01760 is the owner of the entire right, title and interest in and to U.S. Patent Application Serial No. 10/753,848 filed on January 8, 2004 as assigned to ASSIGNOR by German Trabada.

WHEREAS, Scimed Life Systems, Inc., (herewith "ASSIGNEE"), organized and existing under and by virtue of the laws of the State of Minnesota, ans having an office at One Scimed Place, Maple Grove, MN 55311-1566 is desirous to acquiring the entire right, title, and interest in and to the Patent Application and invention therein described and claimed and any Letters Patent that may be issued upon the Patent Application or for the improvements therein contained (hereafter referred to collectively as "Patent Rights");

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the ASSIGNOR hereby sells, assigns, transfers, and delivers to the ASSIGNEE, its successors, assignees and legal representatives the entire right, title, and interest in and to the Patent Rights any and all other applications for Letters Patent on the inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon the inventions or discoveries, or upon the applications, and any and all Letters Patent, reissues, and extensions of Letters Patent grant for the inventions and discoveries or upon the applications, and every priority right that is or may be predicated upon or arise from the inventions, the discoveries, the Patent Application, and Letters Patent.

AND, ASSIGNOR hereby further agrees for itself and its successors, assigns, and legal representatives to execute upon request any other lawful documents and likewise to perform any other necessary lawful acts to the ASSIGNEE, its successors, assigns, and legal representatives.

IN WITNESS WHEREOF, the parties have caused this ASSIGNMENT to be duly executed and delivered as of the date set forth below.

Dated: December 23, 2004		BOSTON SCIENTIFIC CORPORATION  By:
Commonwealth of Massachusetts County of Middlesek	)	
was/were personal knowledge	to me th , to be the swore or	the undersigned Notary Public, personally appeared arough satisfactory evidence of identification, which be person(s) whose name (s) is signed on the preceding or affirmed to me that the contents of the document are truthfulls.
Kathlun d. Bulin Signature of Notary		(Seal)
My Commission Expires: Dec. 27, 2007	1	